APPLICATION

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TITLE: SLOW RESPONSE IN REDUNDANT ARRAYS OF INEXPENSIVE DISKS

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SLOW RESPONSES IN REDUNDANT ARRAYS OF INEXPENSIVE DISKS

Background of the Invention

5   This invention relates generally to the transmission and storage of data and, more particularly, to managing response times in redundant arrays of inexpensive disks.

Digital video and television systems need high bandwidth data transmission and low latencies. Redundant

10   arrays of inexpensive disks (RAID) support high bandwidth data transfers and very low latencies. RAID configurations employ redundancy and/or parity blocks to mask the failure of a disk.

RAID configurations divide a received data stream

15   into a sequence of blocks and write consecutive blocks of the sequence to different disks in the array. To retrieve data, the RAID configuration reads the blocks from the disks of the array and reconstitutes the original data stream from the read blocks. To increase reception and transmission

20   speeds, the RAID configuration may write to and read from the various disks of the array in parallel.

Individual disks of a RAID configuration will occasionally stall or respond slowly to an access request due to disk surface defects and bad block revectoring.

25   During a slow response, the entire RAID configuration may wait while one disk transmits requested data. Thus, a single slowly responding disk can cause a long latency for a read operation from the RAID configuration.

For digital video and cable systems, one slowly

30   responding disk can cause a disaster, because data needs to arrive at a video receiver at a substantially constant rate to keep the receiver's input buffer full. Continued long transmission latencies can deplete the input buffer. A receiver's input buffer is typically only large enough to

35   store about 1 to 2 seconds of video data, i.e. several

megabytes of data. If a slow RAID configuration causes a
transmission gap of longer than about 1 to 2 seconds, the
receiver's input buffer may completely empty. If the
receiver's input buffer empties, a viewer may perceive a
noticeable pause in the video being viewed. Defect-free
transmission of video requires that such pauses be absent.

RAID configurations are economically attractive,
because they provide low latencies and high bandwidth data
storage using inexpensive disks. But, contemporary
inexpensive disks often have bad regions, which occasionally
lead to bad block revectoring and slow disk responses. A
bad region can cause a read, which normally lasts about 10
milliseconds (ms), to take 1,000 ms or more. Thus, slow
responses can cause unpredictable read latencies. These
latencies make RAID configurations less acceptable in video
transmitters, because transmission latencies can lead to the
above-discussed problems in video reception.

The present invention is directed to overcoming, or
at least reducing the effects of, one or more of the
problems set forth above.

Summary of the Invention

One object of the invention is to reduce the number
of transmission gaps caused by slowly responding disks of a
RAID configuration.

Another object of the invention is to provide a RAID
configuration with predictable read latencies.

In a first aspect, the invention provides a RAID
configuration. The RAID configuration includes a plurality
of disks, a bus coupled to the disks to transmit data
blocks, and a device to reconstruct a block stored in any
one of the disks. The device reconstructs the block with
associated data and parity blocks received from other disks.

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The device transmits the reconstructed block to a receiving device in response to one of the disks responding slowly.

In a second aspect, the invention provides a method of transmitting data from a RAID configuration. The method

5   includes requesting that a first disk of the RAID configuration transmit a first block, reconstructing the first block from associated blocks stored in other disks of the RAID configuration, and transmitting the reconstructed first block directly to a receiving device. The step of

10 transmitting is performed if the first disk does not complete transmission of the first data block within a predetermined time.

In a third aspect, the invention provides a RAID configuration, which stores parity and data blocks in

15 stripes across the disks. The RAID configuration includes a plurality of disks and a processor connected to the disks. The processor is adapted to write a plurality of groups of associated data and parity blocks to the disks. The processor writes the data and parity blocks of each group to

20 different ones of the disks and writes at least two blocks from different groups to one stripe.

In a fourth aspect, the invention provides a RAID configuration to transmit data blocks to a receiving device. The RAID configuration includes a plurality of disks, a

25 processor to control reads from and writes to the disks, and a device to reconstruct blocks. The disks store blocks and transmit stored blocks to the receiving device. The processor determines if disks are slowly responding. The device reconstructs a block stored in a slowly responding

30 one of the disks from associated blocks stored in the remaining disks if the processor determines that the one of the disks is slowly responding.

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<u>Brief Description of the Drawings</u>

Other objects, features, and advantages of the invention will be apparent from the following description taken together with the drawings, in which:

5   FIG. 1 shows one embodiment of a redundant array of inexpensive disks (RAID) configuration having a predictable read latency;

FIG. 2A shows a fragment of a data stream sent to the RAID configuration of FIG. 1 for storage therein;

10   FIG. 2B is a schematic illustration of how the RAID configuration of FIG. 1 stores the data fragment of FIG. 2A;

FIG. 3 illustrates an embodiment of a reconstructor of data blocks for use in the RAID configuration of FIG. 1;

FIG. 4 is a flow chart illustrating a method of

15   transmitting data from the RAID configuration of FIG. 1;

FIG. 5 illustrates a video transmission and reception system using the RAID configuration of FIG. 1;

FIG. 6 shows a two-level RAID configuration employing three of the RAID configurations shown in FIG. 1.

20   <u>Description of the Preferred Embodiments</u>

U.S. Patent Application Serial No. 08/547,565, filed October 24, 1995, discloses several types of RAID configurations and is incorporated by reference herein in its entirety.

25   FIG. 1 shows a RAID configuration 10 having three storage disks 12, 13, 14. The RAID configuration 10 has a bus 16 for data writes to and reads of the three disks 12-14. Generally, embodiments may have N disks. A processor 20 controls writes to and reads of the disks 12-14. The

30   writes and reads are for data and/or parity blocks. The processor 20 includes a reconstructor 22 to reconstruct data blocks of slowly responding disks. The processor 20

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transmits data blocks over an interface or line 17, for example, a bus or a cable, to a receiving device 19.

In some embodiments the bus 16 has separate data and control lines (not shown) for each of the disks 12-14.
5   Then, reads and writes may be parallel accesses to all or to a subset of the disks 12-14. In other embodiments a single set of data and control lines connects to each disk 12-14 of the RAID configuration 10. Then, the processor 20 performs serial writes to and reads from the separate disks 12-14
10   over the shared data line. In this case, the bus 16 may be a single SCSI bus or another type of shared or dedicated interconnect.

A disk is slowly responding if the disk does not complete a requested read within a predetermined time, but
15   still sends signals, e.g., to the processor 20, indicating that the read is progressing. The predetermined time is longer than a normal time for completing the requested read. A slowly responding disk may store the requested data in a readable form and may eventually complete the requested
20   read, i.e. the disk is responding and not stalled.

FIG. 2A shows a fragment 40 of a data stream to store in the RAID configuration device 10 of FIG. 1. In this illustrative embodiment, the processor 20 divides the fragment 40 into an ordered sequence of blocks D(0), D(1),
25   ... D(11) and produces a parity block P(i, i+1) (i = 0, 2, 4, ...) to associate with consecutive pairs 42, 44 of the data blocks D(i), D(i+1). The parity block P(i, i+1) encodes at least one parity bit for each pair of equivalent bits of the associated pair 42, 44 of data blocks D(i),
30   D(i+1). The processor 20 may write each associated pair 42, 44 of data blocks D(i), D(i+1) and parity block P(i, i+1) to the three disks 12-14 in parallel or serially as explained with respect to FIG. 1.

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Henceforth, a stripe refers to a correspondingly positioned set of storage locations in each disk 12-14 of the RAID configuration 10. Each stripe includes the same number of storage locations from each disk 12-14.

5 Nevertheless, an array of disks may allow several definitions of stripes. For example, an array with disks A and B may assign storage locations 101 to 200 of both disks A and B to a first stripe and assign storage locations 201 to 300 of both disks A and B to a second stripe. In the

10 same array, a second definition may assign locations 101 to 200 of disk A and locations 201 to 300 of disk B to the first stripe and assign locations 201 to 300 of disk A and locations 101 to 200 of disk B to a second stripe.

FIG. 2B schematically illustrates how the processor

15 20 writes data and parity blocks in the disks 12-14. The storage locations of the three disks 12-14 are arranged in stripes S1-S6. Each stripe S1-S6 stores a group of three associated blocks, which includes a consecutive pair of data blocks D(i), D(i+1) and the parity block P(i, i+1)

20 constructed from the pair. The portion of each disk 12-14 in a particular stripe S1-S6 stores either one of the data blocks D(i), D(i+1) or the associated parity block P(i, i+1). The processor 20 writes the parity blocks P(i, i+1) associated with sequential pairs to different ones of the

25 disks 12-14 by cyclically shifting the storage location of P(i, i+1) in each consecutive stripe. This is referred to as rotating the parity blocks P(i, i+1) across the disks 12-14. Rotating the storage location of the parity block more uniformly distributes the data blocks D(j) among the disks

30 12-14 thereby spreading the access burdens more uniformly across the different disks 12-14 during data reads and writes.

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The configuration shown in FIGs. 1 and 2B is often referred to as a RAID-5 configuration.

FIG. 3 illustrates an embodiment 60 of the reconstructor 22 of FIG. 1, which includes a memory device 62 and a hardware processor 64. Both the memory device 62 and the processor 64 couple to the bus 16. The memory device 62 receives data and/or parity blocks from the disks 12-14 via the bus 16. The memory device 62 stores the associated data and parity blocks for reconstructing the associated block of a slowly responding disk 12-14.

The processor 64 performs an exclusive OR (XOR) of the associated parity and data blocks to reconstruct the data block of the stalled disk 12-14. To perform the XOR, the processor 64 reads the associated blocks from the memory device 62. Then, the processor 64 XOR's corresponding bits of the read associated parity and data blocks in a bit-by-bit manner. Finally, the processor 64 writes the results of the XOR back to the memory device 62. The reconstructor 60 can make a reconstructed block for any one of the disks 12-14.

FIG. 4 is a flow chart illustrating one method 100 of transmitting data from the RAID configuration 10 shown in FIGS. 1 and 2B. At step 102, the processor 20 selects to transmit the associated data blocks of the stripe S1. At step 104, the processor 20 requests that the disks 13-14 to transmit the data blocks of the selected stripe S1. At step 106, the processor 20 determines whether any of the disks 13-14 is slowly responding. At step 107, the processor 20 transmits the requested data blocks if neither disk 13-14 is slowly responding. At step 108, the reconstructor 22 reconstructs the data block of a slowly responding disk 13-14, from the associated data block and parity (from disk 12). The reconstructor 22 receives the associated data and

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parity blocks from storage locations of the same stripe S1 of the other disks 12-14, which are not slowly responding. At step 110, the reconstructor 22 transmits the reconstructed data block to the data receiver 19. At step

5   112, the processor 20 selects the next stripe S2 of associated data blocks to transmit in response to completing transmission of the data blocks of the stripe S1 at step 106 or 110.

Referring to FIGS. 1 and 2B, the RAID configuration

10   10 uses a timer 34 to determine whether any of the disks 12-14 are slowly responding. The processor 20 resets the timer 34 at the start of each cycle for transmitting the data blocks from one of the stripes S1-S6. The timer 34 counts a predetermined time and signals the processor 20 when the

15   time has elapsed. In response to the signal from the timer 34, the processor 20 determines whether each disk 12-14 has completed transmission of the data block stored therein, i.e. whether any disk 12-14 is slowly responding.

The processor 20 may determine that one of the disks

20   12-14 is slowly responding even though the disk 12-14 continues to send "handshaking" signals to the processor 20 indicating normal operation.

Referring to FIGS. 1-3, the processor 20 controls the reconstruction and the transmission of reconstructed

25   data blocks. First, the processor 20 orders the remaining disks 12-14 to transmit the associated blocks to the reconstructor 22, e.g., to the memory device 62, if a slowly responding disk 12-14 is detected. In FIG. 2B, the associated data and parity blocks are stored in the same

30   stripe S1-S6 as the untransmitted data block from the slowly responding disk 12-14. Thus, the processor 20 orders reads of the associated stripe S1-S6 to obtain the associated blocks. Next, the processor 20 signals the reconstructor 22

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to reconstruct the data block from a slowly responding disk, e.g., by a signal sent to the processor 64 of FIG. 3. Then, the processor 20 reads the reconstructed block from the reconstructor 22, e.g., the memory device 62, and transmits

5   the reconstructed block to the interface or line 17.

Referring to FIGS. 1-3, the processor 20 does not interrupt a slowly responding disk 12-14 from recovering by sending to the disk 12-14 a second request to transmit data. Instead the processor 20 orders the reconstructor 22 to

10   reconstruct the missing data from the associated data blocks in the normally responding disks 12-14.

FIG. 5 illustrates a video transmission system 114, which uses the RAID configuration 10 of FIG. 1. A receiver 115 receives data blocks transmitted from the interface or

15   line 17 at an input terminal 116. Transmission between the RAID configuration 10 and receiver 116 may be by radio wave, light, and/or cable transmission. The input terminal 116 couples to a input data buffer 117, e.g., a first-in-first-out buffer. The input data buffer 117 stores two to several

20   times the quantity of data included in one data block shown in FIG. 2B. Data stored in the input data buffer 117 provides for continuous video data processing in the event of a short transmission interruption.

Referring to FIGS. 1 and 5, the video transmission

25   system 114 can lower the occurrence of viewing pauses by transmitting a reconstructed data block in response to detecting a slow disk 12-14. In one embodiment of the system 114, the RAID configuration 10 needs about 100 ms to transmit or reconstruct a data block, and the receiver's

30   input data buffer 117 stores about 2000 ms of video data. The timer 34 counts down a predetermined period of about 400 ms to determine whether one of the disks 12-14 is slowly responding. For this choice of the predetermined period,

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even several sequential slow disk responses will not empty the receiver's input data buffer 117 to produce a noticeable pause in a video being viewed.

Various embodiments may employ different numbers of
5   disks than the RAID configuration 10 of FIG. 1.   Some embodiments use more disks to increase the access bandwidth and/or to lower read latencies. On the other hand, a RAID-1 configuration employs only two disks to store duplicate data blocks.   In a RAID-1 configuration, a processor controls the
10   transmission of stored data blocks. The processor commands the second disk to transmit a duplicate of a data block in response to the first disk not completing transmission of the data block within a predetermined time.

In the various embodiments, a read lasting longer
15   than a predetermined time provokes a reconstruction of data from associated data from other disks and a transmission of the reconstructed data. This increases the predictability of read latencies for the RAID configurations described herein.

20   Some embodiments of RAID configurations store associated data and parity blocks differently than the pattern shown in FIG. 2B. These RAID configurations still transmit reconstructed data in response to detecting a slowly responding disk. To enable reconstruction of data of
25   a slowly responding disk, each disk stores, at most, one block from any group formed of associated data and parity blocks.

FIG. 8 shows a RAID configuration 140 with both first and second level RAID-5 structures. At the first
30   level, a first level processor 141 receives consecutive groups of pairs of data blocks and generates a parity block to associate with each pair of data blocks. The first level processor 141 sends one block from each associated group of

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three blocks to each of the interfaces 142, 142', 142'' of the second level RAID configurations 10, 10', 10''. Each second level processor 20, 20', 20'' subsequently breaks each block into two mini-blocks and generates a parity mini-

5   block to associate with the two mini-blocks. Each second level RAID configuration 10, 10', 10'' stores the mini-blocks as illustrated in FIGS. 2A and 2B. The first level processor 141 retrieves blocks from the second level RAID configurations 10, 10', 10'' and transmits the retrieved

10   blocks over an interface or line 147 to a receiving device 149.

Still referring to FIG. 8, the two-level RAID configuration handles slowly responding storage structures by reconstructing and transmitting reconstructed blocks at

15   the first level. A first level reconstructor 144 reconstructs and transmits to the receiving device 149 the reconstructed block if any second level RAID configuration 10, 10', 10'' responds slowly. A slow response is signaled by the first level processor 141 if the timer 143 counts a

20   predetermined time before all second level RAID configurations 10, 10', 10'' complete transmission of requested data blocks. The timer 143 starts counting the predetermined time in response to the processor 141 sending a new read request to the second level RAID configurations

25   10, 10', 10''. Thus, the two-level RAID configuration 140 deals handles slow responses in the second-level RAID configurations 10, 10', 10'' at the first level. Even if the second level Raid configurations 10, 10', 10'' do not have timers, like the timers 34 of FIG. 1, the first level

30   processor 141, timer 143, and reconstructor 144 can handle latencies due to slow disk responses. These first level devices build predictability into the read latencies of the RAID configuration 140.

In some embodiments, the processor 141 is programmed to simulate the first level RAID-5 structure of FIG. 8, i.e. to simulate the timer 143, and the reconstructor 144. The processor 141 may also control the processors 20, 20', 20''

5   if they are programmable.

Additions, deletions, and other modifications of the described embodiments will be apparent to those practiced in this field and are within the scope of the following claims.

What is claimed is: